

# CPS Assurance:

## Definitions, Examples, and Research Issues

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# What are Cyber-Physical Systems?

- Composed of **tightly-coupled** and **deeply-integrated** cyber and physical parts, i.e., **C and P** are on par with each other!
  - Examples: Automobiles (especially, EVs), airplanes, intelligent robots, smart buildings, electric power grids, health care systems,....
- Some defining characteristics:
  - Cyber capability in every physical process and component
  - Networked at multiple and extreme scales
  - Complex at multiple temporal and spatial scales
  - Dynamically reorganizing/reconfiguring
  - High degrees of automation, control loops must close at all scales
  - Extremely **heterogeneous**
  - **Longevity** is often a must=> self-healing and self-organizing

# What is then CPS assurance?

- Resilience of **integrated** C and P to failures, attacks, and other unexpected events
  - Assurance of C alone is NOT, e.g., highly effective IA
  - Assurance of P alone is NOT, e.g., heavy-duty locks, doors, chains
  - But C and P **together** is YES
- How?
  - Make C aware of the impact of its decision on P
  - Make C sense and respond to P's unassurance
  - Make P sense and respond to C's unassurance
- Examples
  - Resilient surveillance robots
  - Automobiles, trains, ships, and airplanes
  - Most, if not all, DoD systems
  - Smart buildings
  - Smart medical devices
  - Public infrastructures: power grids, water-supply systems, bridges,...
  - Etc.
- **Bridges/abstractions** between C and P are the key!

# Example: Water-Supply Systems

- Forms multiple loops, connecting a reservoir, cities and towns
- Likely to `measure' or `sense' water quality and pressure only at reservoir and kitchen faucets
- *What can we do if terrorists mount attacks on water-supply system?*
  - Where and how fast should we detect such attacks?
    - Where, what types of sensors, and how many to deploy?
    - How to collect and process sensor data?
    - How to ensure genuinity of delivered data?
    - How to ensure timeliness of data collection and processing?
  - *How to recover?*
  - *How to prevent?*

# A Common Misconception

- Majority of CPSes must sense, process, and respond both **correctly** and **in time**
- But cyber security concerns have often been decoupled from their impacts on P, e.g., timeliness and other metrics.

**Very secure but late response may be useless or even harmful!**

# CPS Assurance

- Now, we know how to guarantee CPS timeliness and achieve a certain level of fault-tolerance, each *in isolation*
- But their **integration** is still hard.
- Adding **security/privacy** makes it harder, especially in view of **heterogeneity** and **scale** of CPS devices, protocols, apps, and operating environments
- *One-fits-all solution* is unacceptable and strong **inter-dependencies** exist among different **assurance dimensions** and **abstraction layers**  
=> Need to customize by capturing and optimizing **tradeoffs**

# Secure CPSEs

- Unlike patch-after-failure for desktops and clusters, CPSEs often must *continue operation* in spite of security compromises/threats  
=> **Must self-secure** and **self-organize**
- **Heterogeneity** of CPS architectures provides **multiple** attack opportunities
- Specialized, embedded, secure storage silicon and coprocessors **offload** security authentication and encryption tasks to dedicated hardware

# Research Issues

- Science-based characterization of C-P coupling in CPS assurance context
- CPS attack and failure models
- Identification of assurance dimensions
- Characterization and optimization of tradeoffs between diff assurance dimensions
- Longevity=>self-healing and self-organizing
- Heterogeneity, scalability, and interoperability of CPS assurance solutions
- CPS assurance tailored to apps and situations
- Privacy while performing intended functions
- Usability and user education
- Social impact



Battery management  
is key to green cars!



# Batteries for Electric Vehicles

- A total of **36.0%** of motorists worldwide were willing to buy a hybrid car in 2007 while **45.8%** were interested in purchasing an electric vehicle
- Current Evs are powered solely by **multi-cell battery packs**
- The battery packs should last as long as major parts of the car, e.g., 10-15-year warranty

# Battery Packs for EVs

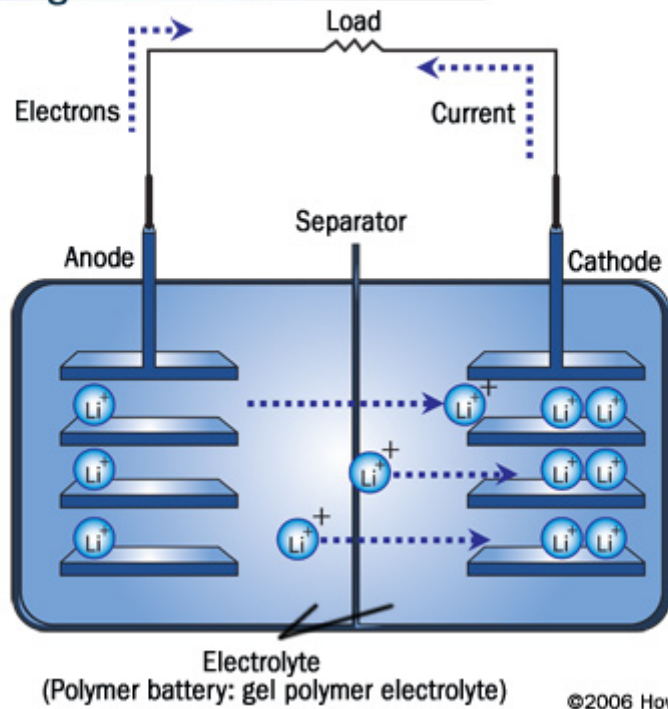
Characteristics	Numbers
Manufacturer	Tesla Motor
Battery Type	Lithium-Ion (the size of a double-AA)
# of cells	6831
Output Voltage	415V
Nominal Capacity	54kWh
Charging time	4 to 7 h (via a special charger)
Mileage per charge	250 miles
Battery pack weight	900 pounds

# Snapshot of Lithium-ion battery packs for EVs

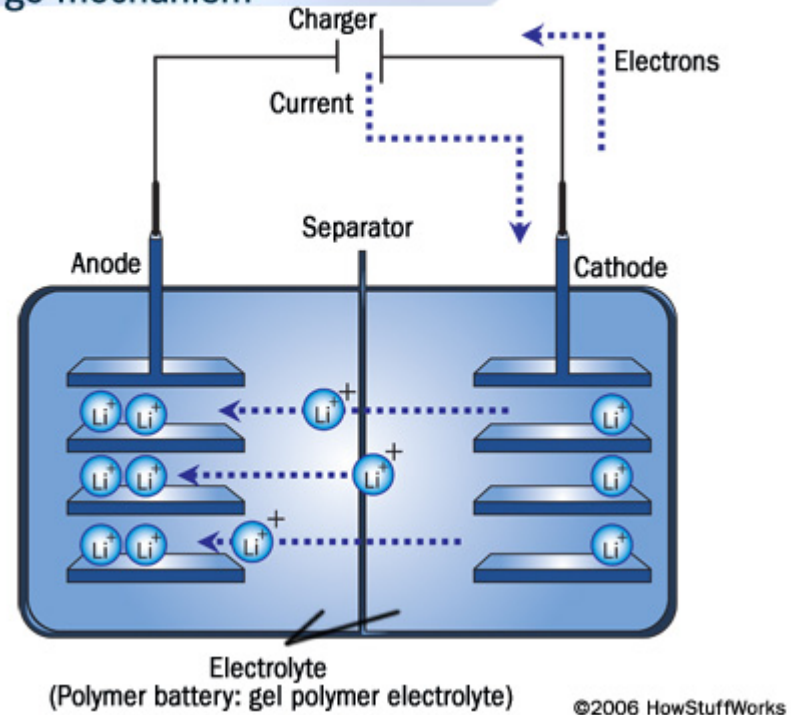


# Preliminary: Lithium-ion Battery charge/discharge

Lithium-ion rechargeable battery  
Discharge mechanism

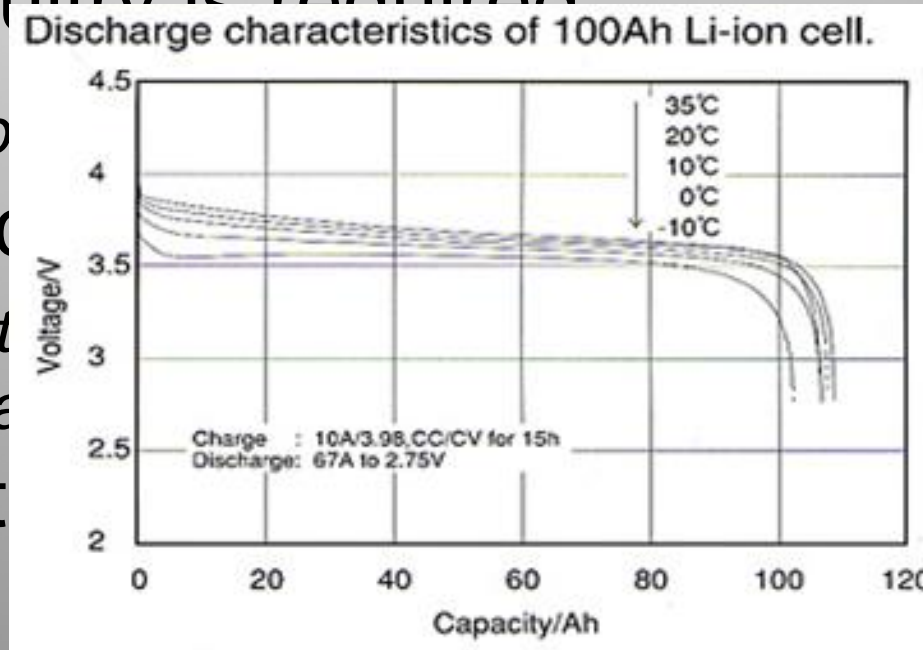


Lithium-ion rechargeable battery  
Charge mechanism

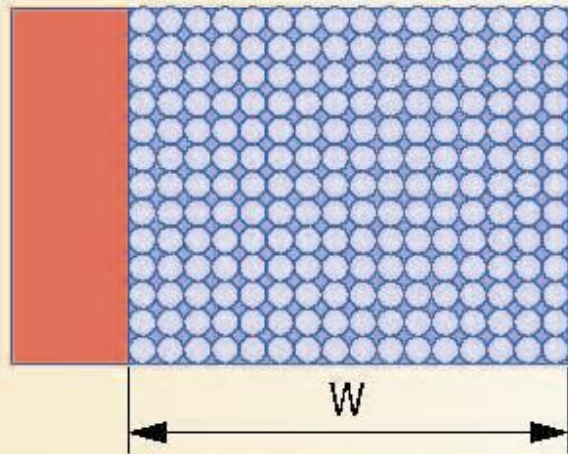


# Characteristics of real-life battery cells

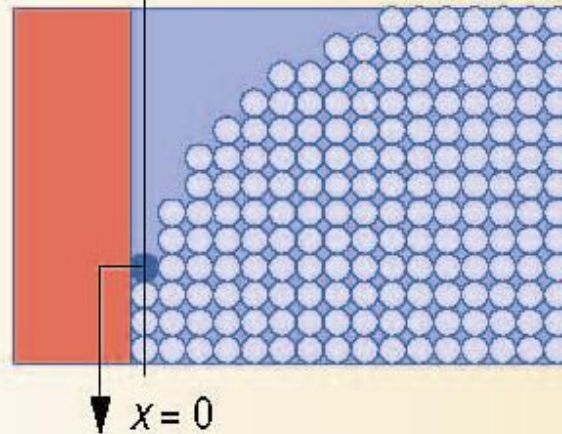
- *Battery output voltage is not constant during discharge; feedback-based DC-DC conversion circuitry is required*
- *Battery capacity depends on current, actual capacity is less than nominal*
- *Nominally equal batteries have differences in internal resistance, connecting batteries in parallel is not a safe design*
- Batteries have some recovery capacity when discharged at high current loads



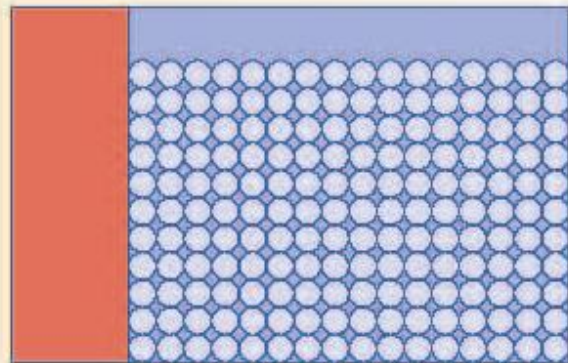
# Recovery Capacity



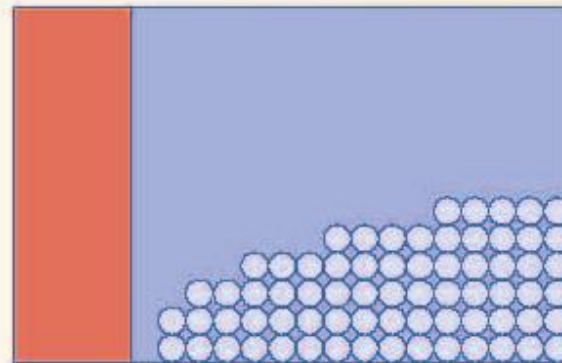
(a) Charged state



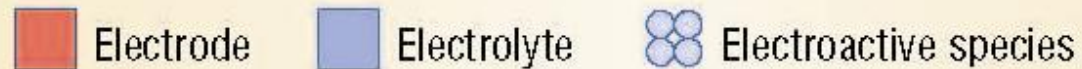
(b) Before recovery



(c) After recovery



(d) Discharged state



- How much does the rest time help?

# Terminologies

- *Weak/faulty cell*: its discharge rate may be larger than those of healthy cells; its impedance level may be higher (capacity decreases)
- *Dead battery*: Actual capacity retention is lower than 70~80% max nominal capacity
- *Reconditioning*: the process of adding appropriate chemical to a (lead acid) battery and properly charging it; of eliminating memory effect on a (NiCd/NiMH) battery



# Problems

- Failure rate for an  $n$ -cell battery pack will be  $n$  times the failure rate of the individual cells
- Charging/discharging rate, battery temperature, ambient temperature, internal gas pressure, internal impedance, aging, etc., have a great impact on the **battery condition**
- Replacing *faulty/dead cells* in the pack is unrealistic because unpacking not only risks damaging other healthy cells, but they also become weaker
- Replacing *entire pack with faulty cell(s)* increases costs and also requires effective battery management

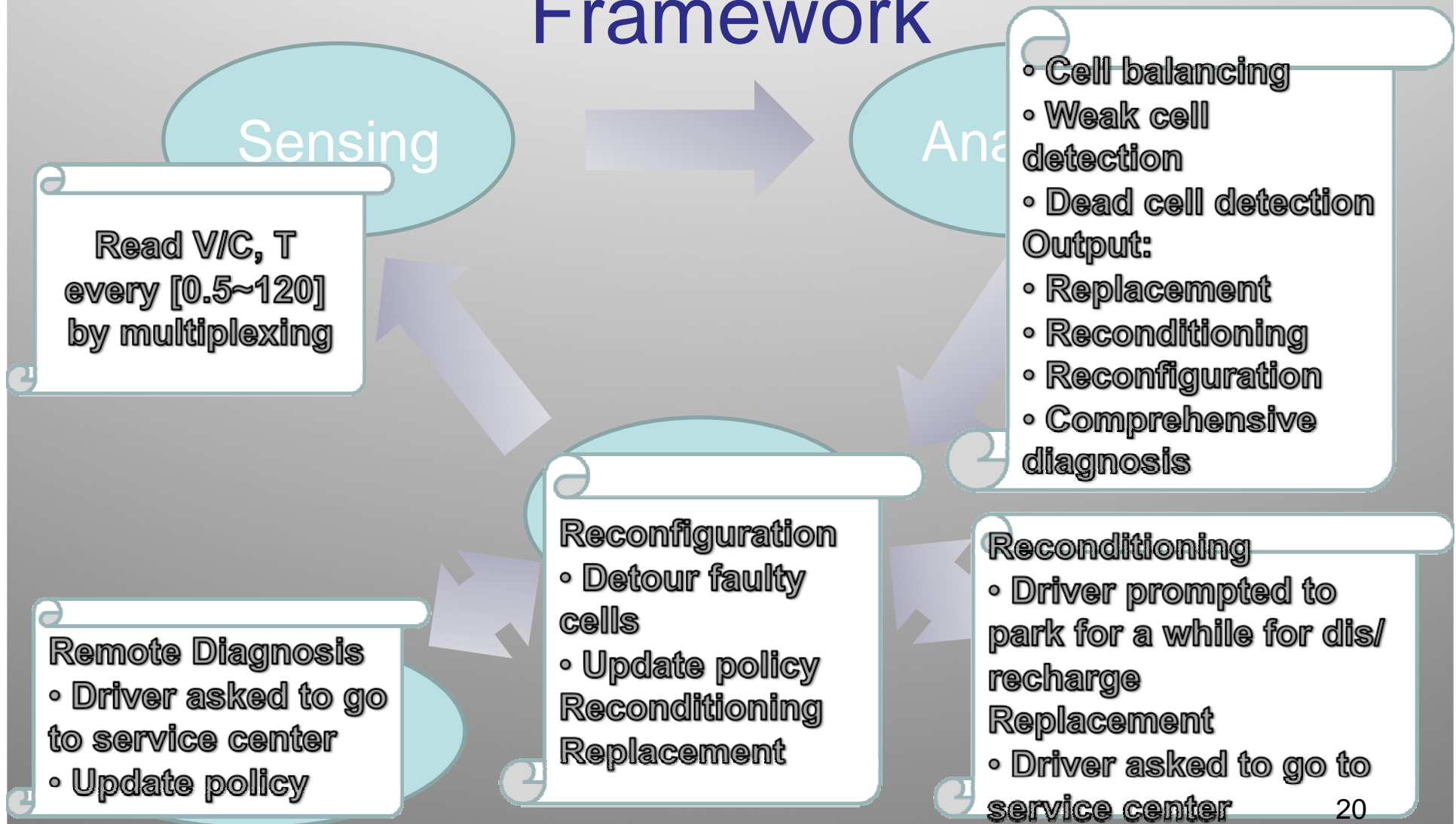
# Objectives of Our Research

- Increase the *actual capacity*, the amount of energy that can be drawn from the battery packs
- Make each entire battery pack robust against *weak/dead cells* without replacing them
- Offer an effective and comprehensive diagnosis and hence reduce maintenance costs

# What we propose

- Dependable Battery Management Framework
  1. Configurable battery cell arrangement
  2. Dynamic load balancing
  3. Comprehensive diagnosis
  4. Adaptive control via a feedback loop
- 50% increase in battery lifetime expects to be achieved

# Key Components of Our Framework



# Main References

1. Bruni *et al.* “Discharge Current Steering for Battery Lifetime Optimization”, Trans. On Computers, 2003
2. Chiasserini *et al.* “Energy Efficient Battery Management”, JSAC in Comm. 2001
3. Alahmad *et al.* “Battery switch array system with application for JPL’s rechargeable micro-scale batteries”, J of Power Sources, 2007
4. Linden *et al.*, “Handbook of batteries”, 2002

More details from RTAS09 and  
RTSS09 proceedings

Thank You!!